Counting Regions in Hyperplane Arrangements

Neil Krishnan

June 30, 2025 Euler Circle

Overview

- Preliminaries
- 2 Shi Arrangement: First Proof
- Finite Field Method
- 4 Shi Arrangement: Second Proof

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Hyperplane Arrangement

Definition

A hyperplane be an (n-1)-dimensional affine subspace of \mathbb{R}^n of the form

$$a_1x_1 + a_2x_2 + \ldots + a_nx_n = k.$$

A hyperplane arrangement ${\cal A}$ is a set of hyperplanes.

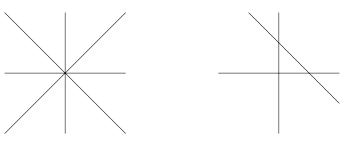
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Examples hyperplane arrangements in \mathbb{R}^2 .

Region

Definition

For a hyperplane arrangement $A = \{H_1, \dots, H_k\}$. A region is a connected component of

$$\mathbb{R}^n - \bigcup_{i=1}^k H_i$$
.

The set of regions is denoted as R(A) and the number of regions is denoted as r(A).

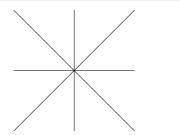
Region

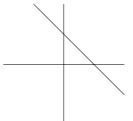
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Left arrangement has 8 regions. Right arrangement has 7 regions.

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Shi Arrangement

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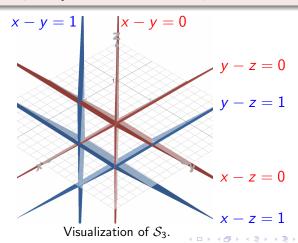
$$\{x_i - x_j = 0, 1 : 1 \le i < j \le n\}.$$

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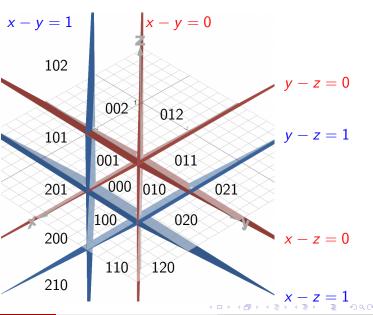
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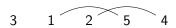
Proposition

There are $(n+1)^{n-1}$ parking functions of length n.

Pak-Stanley Labeling λ



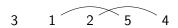
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corresponds to

$$x_3 > x_1 > x_2 > x_5 > x_4$$

$$x_1 < x_5 + 1, x_2 < x_4 + 1, x_3 > x_1 + 1, x_1 > x_4 + 1.$$



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 λ has a corresponding definition in permutations and arcs. The definition implies λ maps to parking functions.

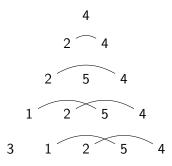


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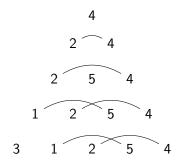
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Finally, we show λ^{-1} exists for all parking functions and is unique (very tedious).



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Through, Principle of Inclusion and Exclusion

$$X = \mathbb{R}^n - \left(\sum_{1 \leq i \leq k} H_i\right) + \left(\sum_{1 \leq i_1 < i_2 \leq k} H_{i_1} \cap H_{i_2}\right) - \cdots.$$

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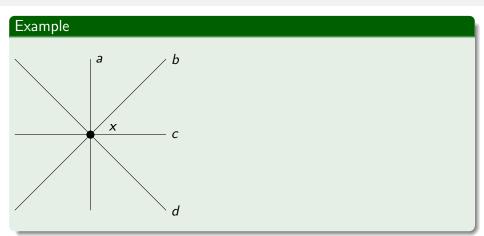
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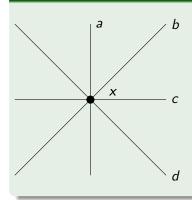
Remove any empty intersections. Replace all intersections with t^d where d is their dimension. The result polynomial $\chi_{\mathcal{A}}(t)$ is the characteristic polynomial.

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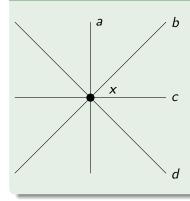


Example



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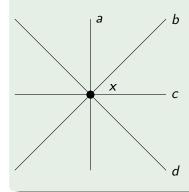


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Theorem (Zaslavsky)

The number of regions formed by an arrangement A in \mathbb{R}^n is $(-1)^n \chi_A(-1)$.

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Theorem

Let $\mathcal A$ be an arrangement. Let q be sufficiently large prime. Then

$$\chi_{\mathcal{A}}(q) = \left| \mathbb{F}_q^n - \bigcup_{H^q \in \mathcal{A}^q} H^q \right|.$$

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- $\bigcup_{H^q \in A^q} H^q = \sum_i H_i^q \sum_i H_i^q \cap H_j^q + \cdots$.
- ullet For large enough q, an intersection of dimension d contains q^d points.

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$$\left|\mathbb{F}_q^n - \bigcup_{H^q \in A^q} H^q\right| = q^n - \sum q^{\dim(H_i^q)} + \sum q^{\dim(H_i^q \cap H_j^q)} - \cdots = \chi_{\mathcal{A}}(q).$$

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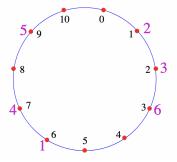
$$\chi_{S_n}(q) = |\{(x_1, \dots, x_n) \in \mathbb{F}_q^n : x_i - x_j \not\equiv 0, 1 \text{ for } 1 \leq i < j \leq n\}|.$$

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There are n balls and q positions in a circle. Balls are placed in distinct positions. Balls i and i are clockwise adjacent only if i > j.

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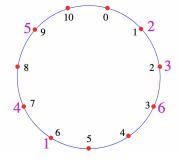
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Example for (6, 1, 2, 7, 9, 3).

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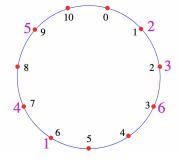


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Place ball 1 at some location.

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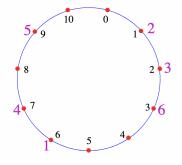
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- Place ball 1 at some location.
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- Place the balls in B_1 , consecutively after 1 in ascending order. Skip a spot. Place balls in B_2 in ascending order. Skip a spot. Continue.

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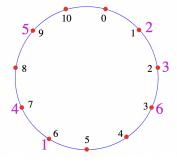
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 $1 \to 6, B_1 = \{1, 4\}, B_2 = \{5\}B_3 = \emptyset B_4 = \{2, 3, 6\}B_5 = \emptyset.$

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Example for (6, 1, 2, 7, 9, 3).

- Place ball 1 at some location.
- Construct partition of balls into sets B_1, \ldots, B_{q-n} . WLOG, $1 \in B_1$.
- Place the balls in B₁, consecutively after 1 in ascending order. Skip a spot. Place balls in B₂ in ascending order. Skip a spot. Continue.
- This is a bijection to placing the balls. Thus, $\chi_{\mathcal{S}_n}(q) = q(q-n)^{n-1}$.

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Number of Regions

So by Zaslavsky's Theorem,

$$r(S_n) = (-1)^n \chi_{S_n}(-1) = (-1)^n (-1)(-1-n)^{n-1} = (n+1)^{n-1}.$$

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This method is generalizable.

Question

Find the number of regions in the Catalan arrangement.

$$C_n = \{x_i - x_j = 0, \pm 1 : 1 \le i < j \le n\}.$$

Acknowledgements

Thank you to Simon for suggesting hyperplane arrangements and organizing the IRPW program. Thank you to Emma Cardwell for her advise and support. Thank you to all of you for listening.

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