

# Permutohedra and Associahedra

Irmak Zelal Cengiz

`iramk.zelal.cengiz2@gmail.com`

Euler Circle

July 2025

# Permutohedra

# What is a Permutohedron?

**Permutohedra** are special types of polytopes that geometrically represent permutations.

Given the vector  $(1, 2, \dots, n)$  in  $\mathbb{R}^n$ , the **permutohedron**  $\mathcal{P}_n$  is the convex hull of all vectors obtained by permuting its coordinates.

## Definition 1.1

$$\mathcal{P}_n := \text{conv} \{ (\sigma(1), \sigma(2), \dots, \sigma(n)) \mid \sigma \in S_n \}$$

where  $S_n$  is the symmetric group on  $n$  elements.

# What is a Permutohedron?

The vertices of the permutohedron  $\mathcal{P}_n$  are all permutations of the vector  $(1, 2, \dots, n)$ . Since permutations preserve the sum of entries, every vertex lies on the same hyperplane.

## Theorem 1.2

*The permutohedron  $\mathcal{P}_n$  is an  $(n - 1)$ -dimensional polytope contained in the hyperplane*

$$H := \left\{ x \in \mathbb{R}^n \mid x_1 + x_2 + \dots + x_n = \frac{n(n+1)}{2} \right\}.$$

# What is a Permutohedron?

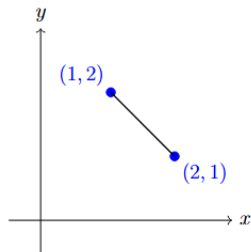
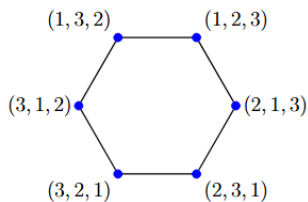
Proof.

Every vertex of  $\mathcal{P}_n$  is a permutation of  $(1, 2, \dots, n)$ . The sum of the coordinates is always

$$1 + 2 + \dots + n = \frac{n(n+1)}{2}.$$

So all vertices lie on the hyperplane  $H$ . Since  $H$  is a single linear constraint in  $\mathbb{R}^n$ , it reduces the dimension by 1. Therefore,  $\mathcal{P}_n$  lies entirely in an  $(n-1)$ -dimensional space.

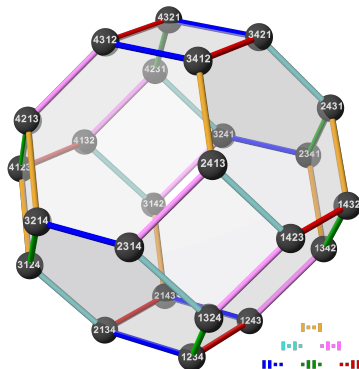


$\mathcal{P}_2$  and  $\mathcal{P}_3$ Figure 1:  $\mathcal{P}_2$ Figure 2: 2D projection of  $\mathcal{P}_3$ 

- $\mathcal{P}_2$ : 1D segment
- $\mathcal{P}_3$ : hexagon

# $\mathcal{P}_4$ : Truncated Octahedron

- 24 vertices, 36 edges
- 14 faces: squares and hexagons
- Lies in  $\mathbb{R}^4$  but is 3D



# Volume of the Permutohedron

## Theorem 1.3

*The volume of  $\mathcal{P}_n$  (normalized so that the smallest simplex has volume 1) is*

$$\text{Vol}(\mathcal{P}_n) = (n-1)! \cdot \text{number of trees on } n \text{ vertices} = (n-1)! \cdot n^{n-2}.$$

- This result connects  $\mathcal{P}_n$  to **Cayley's formula**, which states that the number of labeled trees on  $n$  vertices is  $n^{n-2}$ .
- The geometry of the permutohedron encodes rich combinatorial structures, including trees and networks.



# What is a Permutohedron?

## High-dimensional Permutohedra:

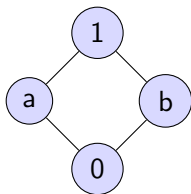
For  $n > 4$ , the permutohedron  $\mathcal{P}_n$  exists in  $(n - 1)$ -dimensional space, making it difficult to visualize directly.

## Why $\mathcal{P}_n$ Matters:

- $\mathcal{P}_n$  lives in 3D space (a hyperplane in  $\mathbb{R}^4$ ), making it the highest-dimensional permutohedron that can be meaningfully visualized.
- It serves as a crucial example to understand the geometry and combinatorics of permutohedra.

# What is a Lattice?

- A **lattice** is a partially ordered set where every pair of elements has:
  - a **least upper bound** (called the **join**  $\vee$ )
  - a **greatest lower bound** (called the **meet**  $\wedge$ )
- Lattices appear in algebra, geometry, and combinatorics, especially when studying polytopes like permutohedra.



A simple lattice:  $a \wedge b = 0$ ,  $a \vee b = 1$

# Face Lattice of $\mathcal{P}_4$ and the Weak Bruhat Order

## Hierarchical Structure of $\mathcal{P}_4$ :

- The faces of  $\mathcal{P}_n$  (vertices, edges, 2D faces, etc.) are organized into a *face lattice*.
- This lattice reflects how lower-dimensional faces are nested within higher-dimensional ones.

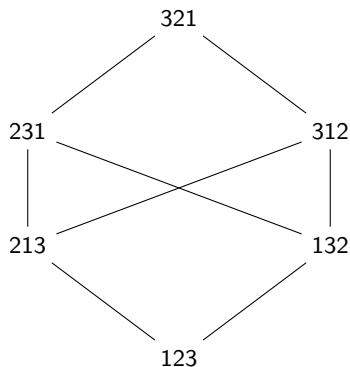
## Connection to Weak Bruhat Order:

- The face lattice of  $\mathcal{P}_4$  corresponds to the **weak Bruhat order** on the symmetric group  $S_4$ .
- In this order, one permutation is “less than” another if it can be reached by a sequence of *adjacent transpositions*.
- A *covering relation* represents a single adjacent swap:

$$(1\,2\,3\,4) \prec (2\,1\,3\,4)$$

# Weak Bruhat Order on $S_3$

- The weak Bruhat order is a partial order on permutations where:
  - A permutation covers another if it is obtained by an adjacent transposition.
- Below is the Hasse diagram of the weak Bruhat order on  $S_3$ :



# Why is the Bruhat Order Important?

- **Sorting Networks:**

- The **shortest paths** represent **optimal sorting strategies**, minimizing steps.

- **Real-life Applications:**

- **Parallel computing:** Sorting networks optimize data flow across processors.
- **Data analysis**
- **Economics and decision theory:** Models of preference rankings use Bruhat-like orders.

# Why is the Bruhat Order Important?

## Significance in Algebraic Combinatorics:

- The edges of  $P_4$  represent covering relations.
- Therefore,  $P_4$  is a *geometric realization* of the weak Bruhat poset on  $S_4$ .
- This allows algebraic and order-theoretic properties to be studied via geometry.

# Associahedra

# What is an Associahedron?

The **associahedron** (*Stasheff polytope*) is a convex polytope whose:

- **Vertices** correspond to all distinct full parenthesizations of a product of  $n + 2$  elements using binary operations,
- **Edges** connect parenthesizations that differ by a single associativity move (e.g.,  $(ab)c \leftrightarrow a(bc)$ ),
- **Faces** of higher dimension correspond to partial associativity relations.



# What is an Associahedron?

## Formal Properties

Let  $K_n$  denote the associahedron of dimension  $n - 2$ . Then:

- $\dim(K_n) = n$ ,
- It corresponds to parenthesizing  $n$  elements,
- It has  $C_{n-1} = \frac{1}{n} \binom{2n-2}{n-1}$  vertices (the  $(n - 1)^{\text{th}}$  Catalan number).

## Applications

Appears in:

- Homotopy theory and loop spaces,
- Category theory (coherence laws),
- Algebraic and combinatorial structures (operads, triangulations),
- Syntax trees and expression evaluation in computer science.

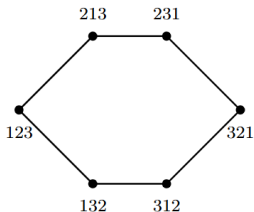
# Permutohedra to Associahedra

Associahedra can be obtained from the permutohedra through certain geometric operations such as:

- Taking specific **subdivisions** of the permutohedron,
- Projecting the permutohedron along certain directions,
- Or truncating the permutohedron in a way that retains only part of its face structure.

# Permutohedra to Associahedra

Permutohedron  $\mathcal{P}_3$



Projection

Associahedron  $\mathcal{K}_4$

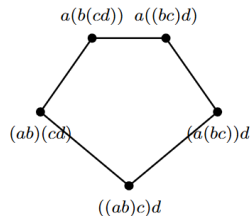


Figure 5: A projection from the permutohedron  $\mathcal{P}_3$  to the associahedron  $\mathcal{K}_4$ .

# Why Permutohedra and Associahedra

- Investigating their properties and relationships reveals deep insights into:
  - Symmetry and ordering (permutohedra)
  - Associativity and parenthesization patterns
  - Linking abstract mathematics with practical problems across science and engineering.
- Their interplay helps us understand complex structures such as:
  - Sorting algorithms and optimization
  - Higher-dimensional category theory and homotopy
  - Network theory and data structures
  - Computational biology
  - Robotics and motion planning

Thank you for listening!