

# Finite Groups of Lie Type

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July 2024

# Introduction

- Initially explored by Évariste Galois in the 19th century and formalized by Sophus Lie.
- Finite groups of Lie type:
  - Arise from Lie algebras and algebraic groups over finite fields.
  - Are used in various areas of mathematics (representation theory, combinatorics, number theory).
- Aim of this talk:
  - Provide an exposition on finite groups of Lie type.
  - Focus on their construction, properties, order, and simplicity conditions.

# Definitions

A **group**  $(G, \cdot)$  is a set  $G$  equipped with a binary operation  $\cdot$  that satisfies the following four axioms:

- **Closure:** For all  $a, b \in G$ , the result of the operation  $a \cdot b$  is also in  $G$ .
- **Associativity:** For all  $a, b, c \in G$ ,  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ .
- **Identity Element:** There exists an element  $e \in G$  such that for every element  $a \in G$ , the equation  $e \cdot a = a \cdot e = a$  holds.
- **Inverse Element:** For each element  $a \in G$ , there exists an element  $b \in G$  such that  $a \cdot b = b \cdot a = e$ , where  $e$  is the identity element.

# Order and Subgroups

The **order** of a group  $G$ , denoted  $|G|$ , is the number of elements in the set  $G$ . If  $|G|$  is finite,  $G$  is called a **finite group**.

A **subgroup**  $H$  of a group  $G$  is a subset of  $G$  that forms a group under the operation of  $G$ .

A **normal subgroup**  $N$  of a group  $G$  is a subgroup that is invariant under conjugation by any element of  $G$ . That is,  $N \triangleleft G$  if for every  $n \in N$  and  $g \in G$ , the element  $gng^{-1} \in N$ .

**Example:** Consider the group  $G = (\mathbb{Z}, +)$ , where  $\mathbb{Z}$  is the set of integers under addition. Let  $H = 2\mathbb{Z}$  be the subgroup of even integers in  $G$ .  $H$  is a normal subgroup of  $G$  since for any  $n \in \mathbb{Z}$  and  $h \in H$ , we have  $n + h + (-n) \in H$ .

# Homomorphisms and Isomorphisms

A **homomorphism** between two groups  $G$  and  $H$  is a function  $\phi : G \rightarrow H$  that preserves the group operation. More formally, a homomorphism satisfies the following condition:

- **Preservation of Operation:** For all  $a, b \in G$ ,  
$$\phi(a \cdot b) = \phi(a) \cdot \phi(b),$$
 where  $\cdot$  denotes the group operation in  $G$  and  $H$ .

An **isomorphism**  $\phi : G \rightarrow H$  is a bijective homomorphism that preserves the operations of the structures.

# Kernels, Images, Simple Groups

Let  $\phi : G \rightarrow H$  be a homomorphism between groups  $G$  and  $H$ .

The **kernel** of  $\phi$ , denoted by  $\ker(\phi)$ , is defined as

$\ker(\phi) = \{g \in G : \phi(g) = e_H\}$ , where  $e_H$  is the identity element of  $H$ .

The **image** of a homomorphism is defined as

$\text{Im}(\phi) = \{\phi(g) : g \in G\}$ , which is a subgroup of  $H$ .

A group  $G$  is called **simple** if it has no nontrivial proper normal subgroups, i.e., the only normal subgroups of  $G$  are the trivial group  $\{e\}$  and  $G$  itself.

# Classification Theorem for Finite Simple Groups

The **Classification Theorem for Finite Simple Groups** states that every finite simple group belongs to one of the following categories:

- ① Cyclic groups of prime order.
- ② Alternating groups of degree at least 5.
- ③ Simple groups of Lie type.
- ④ 26 sporadic groups.

We will focus on **finite groups of Lie type**, groups that can be seen as the group of rational points over a finite field of a connected type Lie group. Some examples include the general linear group, special linear groups, and orthogonal groups.

# General Linear Group

The **general linear group**  $GL_n(\mathbb{F})$  is the group of all invertible  $n \times n$  matrices with entries from a field  $\mathbb{F}$ , under matrix multiplication. Formally,

$$GL_n(\mathbb{F}) = \{A \in M_n(\mathbb{F}) \mid \det(A) \neq 0\},$$

where  $M_n(\mathbb{F})$  is the set of all  $n \times n$  matrices over  $\mathbb{F}$ , and  $\det(A)$  is the determinant of  $A$ .

**Example:** Consider  $GL_2(\mathbb{R})$ , the group of invertible  $2 \times 2$  matrices with real entries. For instance,

$$A = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \in GL_2(\mathbb{R}),$$

since  $\det(A) = 1 \cdot 4 - 2 \cdot 3 = -2 \neq 0$ .



# General Linear Group over Finite Fields

When  $\mathbb{F} = \mathbb{F}_q$  (the finite field with  $q$  elements),  $GL_n(\mathbb{F}_q)$  is the group of all invertible  $n \times n$  matrices over  $\mathbb{F}_q$ . The order of  $GL_n(\mathbb{F}_q)$  is given by:

$$|GL_n(\mathbb{F}_q)| = (q^n - 1)(q^n - q)(q^n - q^2) \cdots (q^n - q^{n-1}).$$

# Deriving the Order of $GL_n(F_q)$

- We need to determine the number of non-singular  $n \times n$  matrices over the finite field  $F_q$ .
- The first row  $u_1$  can be any non-zero vector, giving  $q^n - 1$  possibilities.
- For any choice of  $u_1$ , the second row  $u_2$  can be any vector not a multiple of  $u_1$ , giving  $q^n - q$  possibilities.
- For any choice of  $u_1$  and  $u_2$ , the third row  $u_3$  can be any vector not a linear combination of  $u_1$  and  $u_2$ , giving  $q^n - q^2$  possibilities.
- Continuing in this manner, the  $k$ -th row can be any vector not a linear combination of the previous  $k - 1$  rows, giving  $q^n - q^{k-1}$  possibilities.
- Therefore, the number of non-singular matrices is:

$$(q^n - 1)(q^n - q)(q^n - q^2) \cdots (q^n - q^{n-1})$$

# Special Linear Group

The **special linear group**  $SL_n(\mathbb{F})$  is the subgroup of  $GL_n(\mathbb{F})$  consisting of matrices with determinant 1. Formally,

$$SL_n(\mathbb{F}) = \{A \in GL_n(\mathbb{F}) \mid \det(A) = 1\}.$$

$SL_n(\mathbb{F})$  is a normal subgroup of  $GL_n(\mathbb{F})$ .

# Special Linear Group over Finite Fields

When  $\mathbb{F} = \mathbb{F}_q$ ,  $SL_n(\mathbb{F}_q)$  is the group of all  $n \times n$  matrices over  $\mathbb{F}_q$  with determinant 1. The order of  $SL_n(\mathbb{F}_q)$  is given by:

$$|SL_n(\mathbb{F}_q)| = \frac{|GL_n(\mathbb{F}_q)|}{q-1} = \frac{(q^n - 1)(q^n - q)(q^n - q^2) \cdots (q^n - q^{n-1})}{q-1}.$$

# Deriving the Order of $SL_n(F_q)$

- Consider the set of all  $n \times n$  matrices over the finite field  $F_q$  with  $q$  elements.
- The special linear group  $SL_n(F_q)$  consists of all matrices with determinant 1.
- We know the order of the general linear group  $GL_n(F_q)$ :

$$|GL_n(F_q)| = (q^n - 1)(q^n - q)(q^n - q^2) \cdots (q^n - q^{n-1})$$

- To find the order of  $SL_n(F_q)$ , note that multiplying the first row of a matrix with determinant 1 by any non-zero field element  $a$  results in a matrix with determinant  $a$ .
- Each non-zero determinant can be achieved this way, creating a bijection between matrices with different determinants.
- Hence, the total number of matrices is divided among the  $q - 1$  possible non-zero determinants.
- Therefore:

$$|SL_n(F_q)| = \frac{1}{q-1} |GL_n(F_q)|$$

# Projective Special Linear Group

The **projective special linear group**  $PSL_n(\mathbb{F})$  is defined as the quotient group of the special linear group  $SL_n(\mathbb{F})$  by its center  $Z(SL_n(\mathbb{F}))$ . The center  $Z(SL_n(\mathbb{F}))$  consists of scalar matrices  $\lambda I_n$  where  $\lambda^n = 1$ . Formally,

$$PSL_n(\mathbb{F}) = SL_n(\mathbb{F})/Z(SL_n(\mathbb{F})).$$

**Order:** The order of  $PSL_n(\mathbb{F}_q)$  is:

$$|PSL_n(\mathbb{F}_q)| = \frac{|SL_n(\mathbb{F}_q)|}{|Z(SL_n(\mathbb{F}_q))|}.$$

$PSL_n(\mathbb{F}_q)$  is simple for  $n \geq 2$  and  $q$  sufficiently large.

# Definitions for Proof - 1

A **group action** of a group  $G$  on a set  $\Omega$  is a mapping  $\cdot : G \times \Omega \rightarrow \Omega$  such that:

$$\begin{cases} g_1 \cdot (g_2 \cdot \omega) = (g_1 g_2) \cdot \omega, & \text{for all } g_1, g_2 \in G \text{ and } \omega \in \Omega, \\ e \cdot \omega = \omega, & \text{for all } \omega \in \Omega, \end{cases}$$

where  $e$  is the identity element of  $G$ .

**Example:** Consider the group  $G = \mathbb{Z}/4\mathbb{Z}$  (integers modulo 4) acting on the set  $\Omega = \{1, 2, 3, 4\}$  by rotation. Each element  $g \in G$  represents a rotation of  $\Omega$  by  $g$  positions. For instance,  $1 \cdot 2 = 3$  and  $3 \cdot 4 = 3$ .

## Definitions For Proof - 2

**Primitive Group Action:** A group action of  $G$  on  $\Omega$  is called **primitive** if the only  $G$ -invariant partitions of  $\Omega$  are trivial (singletons or the whole set  $\Omega$ ).

**Stabilizers:** The **stabilizer** of a point  $\omega \in \Omega$  under the action of  $G$ , denoted  $G_\omega$  or  $G(\omega)$ , is the subgroup of  $G$  that fixes  $\omega$ , i.e.,

$$G_\omega = \{g \in G \mid g \cdot \omega = \omega\}.$$



# Iwasawa's Lemma

**Iwasawa's Lemma:** Let  $G$  be a primitive permutation group on  $\Omega$ . Suppose that some point stabilizer  $G_\alpha$  contains an abelian normal subgroup  $A$  (i.e.,  $A \triangleleft G_\alpha$ ) whose conjugates in  $G$  generate all of  $G$ . Then any nontrivial normal subgroup  $N$  of  $G$  contains  $G'$ , the commutator subgroup of  $G$ . If  $G$  is perfect, then  $G$  is simple.

It states that any non-trivial normal subgroup of a group containing an abelian normal subgroup must also contain the commutator subgroup.

# Proof of Simplicity of $PSL_n(\mathbb{F}_q)$

- 1 Consider  $PSL_n(\mathbb{F}_q)$  acting on  $\mathbb{P}^{n-1}(\mathbb{F}_q)$ , where the action is primitive.
- 2 The stabilizer of a point in  $\mathbb{P}^{n-1}(\mathbb{F}_q)$  is isomorphic to  $PGL_{n-1}(\mathbb{F}_q)$ .
- 3  $PGL_{n-1}(\mathbb{F}_q)$  contains an abelian normal subgroup (its center), whose conjugates generate  $PSL_n(\mathbb{F}_q)$ .
- 4 Apply Iwasawa's Lemma to show any non-trivial normal subgroup of  $PSL_n(\mathbb{F}_q)$  contains the commutator subgroup  $PSL_n(\mathbb{F}_q)'$ , which equals  $PSL_n(\mathbb{F}_q)$  as  $PSL_n(\mathbb{F}_q)$  is perfect.
- 5 Conclude that  $PSL_n(\mathbb{F}_q)$  is simple for  $n \geq 2$  and  $q > 3$ .

# Orthogonal Groups

The **orthogonal group**  $O_n(\mathbb{F})$  is the group of  $n \times n$  matrices  $A$  over  $\mathbb{F}$  that preserve a non-degenerate symmetric bilinear form, i.e.,  $A^T A = I_n$ . Formally,

$$O_n(\mathbb{F}) = \{A \in GL_n(\mathbb{F}) \mid A^T A = I_n\}.$$

## Example:

Consider  $O_2(\mathbb{R})$ , the group of all  $2 \times 2$  orthogonal matrices with real entries. For instance,

$$A = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \in O_2(\mathbb{R}),$$

since  $A^T A = I_2$ .

# Thank You

Thank you for your attention!