

# Irrationality and Transcendence: Advancing Beyond Algebra

Ashvath Rajesh

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# This Talk Will Explore:

- 1 The irrationality of  $e$  and a brief proof as an introduction
- 2 Some theorems used to establish transcendence
- 3 Schanuel's Conjecture, which can potentially be used to prove the transcendence of a lot of numbers
- 4 A hypothetical proof examining  $e + \pi$ 's transcendence if Schanuel's Conjecture was true

# Background Information

- ① Irrational: a number that cannot be represented as a ratio of integers
- ② Transcendental: a subset of irrational numbers that do not satisfy any finite polynomial equation of rational coefficients. Transcendence implies irrationality.
- ③ For this talk, we will assume  $e$  and  $\pi$  are transcendental (except for the first proof), and use this notion to categorize a range of numbers.

# e's Irrationality

This will be a simplified version of Fourier's proof of  $e$ 's irrationality. First, we need an equation to manipulate, so let's define  $e$  in terms of its Maclaurin Series.

$$e^x = \sum_{n=0}^{\infty} \frac{x^n}{n!}$$

Let's start by assuming the contradiction that  $e = p/q$  when  $p$  and  $q$  are positive integers. When  $x = 1$  we have:

$$e = \frac{p}{q} = 1 + \frac{1}{1!} + \frac{1}{2!} + \frac{1}{3!} + \dots + \frac{1}{n!}.$$

## e's Irrationality (2)

Now that we have a new way of representing  $e$ , we can manipulate this equation until a contradiction is reached. We will start this process by first scaling both sides of the equation by a factor of  $q!$ .

$$\frac{q!p}{q} = q! + \frac{q!}{1!} + \frac{q!}{2!} + \frac{q!}{3!} + \dots + \frac{q!}{n!}.$$

## e's Irrationality (3)

The lefthand side of the equation must be an integer because it is a product of integer numbers. The righthand side, however, can be fundamentally split into two parts: a sum of integers, and a sum that equals some number  $S_n$ .

$$\underbrace{\frac{q!p}{q}}_{\in \mathbb{Z}} = \underbrace{q! + \frac{q!}{1!} + \frac{q!}{2!} + \dots + \frac{q!}{q!}}_{\in \mathbb{Z}} + \underbrace{\frac{q!}{(q+1)!} + \frac{q!}{(q+2)!} + \dots}_{S_n}$$

## e's Irrationality (4)

- 1  $S_n$  must be positive because  $p$  and  $q$  are positive
- 2 an infinite geometric series expansion  $G_n$  can represent an upper bound of  $S_n$

We can define  $G_n$  to be the following and represent an upper bound.

$$S_n = \frac{1}{(q+1)} + \frac{1}{(q+2)(q+1)} + \dots < G_n = \frac{1}{q+1} + \frac{1}{(q+1)^2} + \dots$$

## e's Irrationality (5)

$G_n$  is just an infinite geometric series and can be summed in the following way.

$$G_n = \frac{\frac{1}{q+1}}{1 - \frac{1}{q+1}} = \frac{\frac{1}{q+1}}{\frac{q}{q+1}} = \frac{1}{q+1} \cdot \frac{q+1}{q} = \frac{1}{q}.$$

This gives us an upper and lower bound for  $S_n$ .

$$0 < S_n < 1$$

This gives us the contraction we need, and by the fundamental theorem of transcendental number theory,  $e$  cannot be rational so it must be irrational.



# Powers of e

When considering powers more than  $x = 1$ , the  $r$  value causes the upper bound to diverge, so we need a more practical function. We do this using Legendre polynomials, function orthogonality and order of vanishing of polynomials.

$$\langle f, g \rangle := \int_{-1}^1 f(x)g(x)dx$$
$$\int_0^r f(x)e^x dx$$

This proof will not be examined in this talk, but can be found in my paper.

# Establishing Transcendence: Gelfond-Schneider Theorem

This theorem directly relates irrationality and transcendence and is used to categorize a large number of numbers in the form of  $a^b$ .

## Theorem 0.1 (Gelfond-Schneider Theorem)

*If both  $a$  and  $b$  are algebraic numbers,  $a \notin \{0, 1\}$  and  $b$  is non-rational number, then any number in the form  $a^b$  is transcendental.*

Theorems like this one helps us establish the transcendence of a very large scope of numbers, such as  $2^{\sqrt{2}}$  (Gelfond–Schneider Constant),  $e^{\pi}$  (Gelfond's Constant) and  $i^i$ .

# Schanuel's Conjecture

## Conjecture 0.2

*If we consider  $z_1, z_2, \dots, z_n$ , which are complex numbers linearly independent on  $\mathbb{Q}$ , and their exponentials,  $e^{z_1}, e^{z_2}, \dots, e^{z_n}$  then, there are at least  $n$  algebraically independent numbers in:*

$$z_1, z_2, \dots, z_n, e^{z_1}, e^{z_2}, \dots, e^{z_n}.$$

*In other words, the field extension  $\mathbb{Q}(z_1, z_2, \dots, z_n, e^{z_1}, e^{z_2}, \dots, e^{z_n})$ , which has  $2n$  terms, has transcendence degree at least  $n$  on  $\mathbb{Q}$ , provided that  $z_1, z_2, \dots, z_n$  has  $n$  terms that are complex and linearly independent.*

## Schanuel's Conjecture (2)

### Definition 0.3 (Algebraic Independence)

If  $a$  and  $b$  are algebraically independent, there is no polynomial equation (with rational coefficients  $\neq 0$ ) that will vanish when evaluated at these two numbers. In other words, algebraic independence is established when  $P(a, b) \neq 0$ , when  $P(x, y)$  is the polynomial equation unless all the coefficients are zero.

# $e + \pi$

Let  $z_1 = 1$  and  $z_2 = i\pi$ , and consider the set of these complex numbers and their exponentials:  $\{1, i\pi, e^{i\pi}, e^1\}$ . Simplifying using Euler's Identity, we are left with  $\{1, i\pi, -1, e\}$ . By Schanuel's Conjecture, at least 2 of the 4 elements must be algebraically independent. Now let's assume the contradiction that  $e + \pi$  is algebraic.

## $e + \pi$ (2)

This implies that it is a solution to a polynomial equation, when  $a_0, a_1, \dots, a_n$  are rational coefficients.

$$a_n(e + \pi)^n + a_{n-1}(e + \pi)^{n-1} + \dots + a_1(e + \pi) + a_0 = 0$$

Now expanding the terms with  $b_0, b_1, \dots, b_n$  being the coefficients that we get from expanding, we are left with:

$$b_n(\pi)^n + b_{n-1}(e)^n + \dots + b_2(\pi) + b_1(e) + b_0 = 0.$$

This equation shows a polynomial that links  $e$  and  $\pi$  algebraically, showing that they are not algebraically independent over  $\mathbb{Q}$ .

$$e + \pi (3)$$

Examining the transcendence degree:

- ① -1 and 1 do not contribute to the degree because they can be linked through a polynomial equation ( $x^2 - 1 = 0$ , for example)
- ②  $e$  and  $i\pi$  do not contribute to the degree because the equation established on the last slide shows  $e$  and  $\pi$  are linked through a polynomial.

This conclusion violates Schanuel's Conjecture and shows that  $e$  and  $\pi$  must be algebraically independent, to make the transcendence degree 2 (which is necessitated by the conjecture), implying that  $e + \pi$  is transcendental and in turn irrational.

# Thank You

Thanks for listening, make sure to read my paper for more!

- 1 ashvathrajesh001@gmail.com
- 2 ash001 on Discord