Pattern Avoidance in Words

Anshul Mantri

Euler Circle

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Definition

A *factor* or *subword* of a word w is a contiguous subsequence of w of any length.

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The *free monoid* of an alpabet A, denoted as A^* , is the set of all words of any length that can be made with the letters of A.

This set is a monoid because it is closed under the associative operation of concatenation, and it has the empty word ε as the identity element.

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Definition

A morphism h from A^* and B^* is a function that maps each element of A^* to an element of B^* , such that for all $m, n \in A^*$, we have h(m)h(n) = h(mn).

Patterns

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A pattern is a word where the letters are variables.

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Definition

A word w encounters a pattern p if it contains a factor that can be made by substituting each variable in p with a word in A^* . On the other hand, if a w does not encounter a pattern, w avoids the pattern.

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Definition

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Definition

If there exists an infinite word on an alphabet with k letters that avoids a pattern p, then p is k-avoidable. Otherwise, p is k-unavoidable.

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Thue-Morse Morphism

Let $A = \{a, b\}$. Define the morphism $\mu : A^* \to A^*$, where

$$\mu(a) = ab$$

 $\mu(b) = ba$

Image: A matrix and a matrix

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Thue-Morse Morphism

Let $A = \{a, b\}$. Define the morphism $\mu : A^* \to A^*$, where $\mu(a) = ab$ $\mu(b) = ba$

We can generate the infinite word t by repeatedly applying μ to the starting letter a.

$$t_0 = a$$

 $t_1 = \mu(t_0) = ab$
 $t_2 = \mu(t_1) = abba$
 $t_3 = \mu(t_2) = abbabaab$
 \vdots

 $t = \mu(t) = abbabaabbaababba \dots$

Image: A matrix and a matrix

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Proposition

The Thue-Morse word avoids the following patterns:

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xxx



Proposition The Thue-Morse word avoids the following patterns: xxx xxx xyxyx

Proof (outline).

FTSOC, assume t encounters the pattern, so there is a factor of t with minimal length that encounters the pattern. Then use the fact that μ maps t to itself to show that there is a factor following the pattern that is half the length, which is a contradiction.

Definition

The sesquipowers, also called the Zimin words, are defined as follows. Let $Z_0 = \varepsilon$, and for every $\sigma \in |\Sigma|$, let σ_n be a new symbol in an alphabet Σ . Then, $Z_{n+1} = Z_n \sigma_n Z_n$.

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 $\Sigma = \{a, b, c, d\}$

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Example

 $\Sigma = \{a, b, c, d\}$ $Z_0 = \varepsilon$ $Z_1 = a$ $Z_2 = aba$ $Z_3 = abacaba$ $Z_4 = abacabadabacaba$

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 $\Sigma = \{a, b, c, d\}$ $Z_0 = \varepsilon$ $Z_1 = a$ $Z_2 = aba$ $Z_3 = abacaba$ $Z_4 = abacabadabacaba$

We are interested in the Zimin patterns, which are sesquipowers made of variables instead of letters. Since there can be arbitrarily many variables in a pattern, there are infinitely many Zimin patterns

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Pattern Avoidance in Words

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Every Zimin pattern is unavoidable on all alphabets.

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The proof is by induction. The base case is trivial.

Lemma

Let p be a pattern that is unavoidable on an alphabet A. If x is a variable that does not appear in p, then the pattern pxp is also unavoidable on A.

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Every Zimin pattern is unavoidable on all alphabets.

The proof is by induction. The base case is trivial.

Lemma

Let p be a pattern that is unavoidable on an alphabet A. If x is a variable that does not appear in p, then the pattern pxp is also unavoidable on A.

Proof.

There must exist some finite length I such that all words of length I encounter p. If we have sufficiently many blocks of I letters, separated by one letter, then some word of length I is repeated. Then x is the word between the two occurence of p, and we have the pattern pxp.

Theorem (Zimin, 1984)

A pattern is unavoidable on all alphabets if and only if it is a factor of a Zimin pattern.

To prove this, Zimin showed that a pattern is unavoidable if and only if it is reducible using the Zimin algorithm.

Thanks for listening! If you found this interesting, please check out my paper for more.

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