

Coefficients of powers of $1 + x + x^2 + \dots + x^k$

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It is very well known that

$$(1+x)^n = 1 + \binom{n}{1}x + \binom{n}{2}x^2 + \dots + \binom{n}{n}x^n = \sum_{k=0}^n \binom{n}{k}x^k.$$

Euler was curious about the coefficients of the expansion of the expression $(1+x+x^2+x^3+\dots+x^k)^n$ for some k . Throughout this paper, we will use the notation $\binom{n}{i}_{k-1}$ to represent the coefficient of the term x^i in the expansion of $(1+x+x^2+x^3+\dots+x^k)^n$ (in particular, it is possible that $i > n$).

We first look at $(1+x+x^2)^n$. Let's compute some expansions for small n .

$$\begin{aligned}(1+x+x^2)^0 &= 1, \\(1+x+x^2)^1 &= 1+x+x^2, \\(1+x+x^2)^2 &= 1+2x+3x^2+2x^3+x^4, \\(1+x+x^2)^3 &= 1+3x+6x^2+7x^3+6x^4+3x^5+x^6, \\(1+x+x^2)^4 &= 1+4x+10x^2+16x^3+19x^4+16x^5+10x^6+4x^7+x^8, \\(1+x+x^2)^5 &= 1+5x+15x^2+30x^3+45x^4+51x^5+45x^6+30x^7+15x^8+5x^9+x^{10}.\end{aligned}$$

There's no obvious patterns in the coefficients, besides from the fact that they're symmetric. This makes sense, since the polynomial we're raising to a power is symmetric. This gives us that $\binom{n}{i}_3 = \binom{n}{2n-i}_3$, although this isn't particularly useful for determining a pattern for the coefficients.

What Euler did now was write the expression as $[1+x(1+x)]^n$. We can then use the binomial theorem on this to obtain

$$1 + \binom{n}{1}x(1+x) + \binom{n}{2}x^2(1+x)^2 + \cdots + \binom{n}{n}x^n(1+x)^n = \sum_{i=0}^n \binom{n}{i}x^i(1+x)^i.$$

We can now express $(1+x)^i$ using the binomial theorem, giving us

$$\sum_{i=0}^n \binom{n}{i}x^i \sum_{j=0}^i \binom{i}{j}x^j = \sum_{i=0}^n \sum_{j=0}^i \binom{n}{i} \binom{i}{j}x^{i+j}.$$

Now we can determine $\binom{n}{c}_3$. We need $c = i + j$, so we have that

$$\binom{n}{c}_3 = \sum_{c=i+j} \binom{n}{i} \binom{i}{j}.$$

For example, we know from our manual calculation of some of these polynomials above that $\binom{4}{4}_3 = 19$. Using our expression above, we get that

$$\begin{aligned} \binom{4}{4}_3 &= \sum_{4=i+j} \binom{4}{i} \binom{i}{j} = \binom{4}{0} \binom{0}{0} + \binom{4}{1} \binom{1}{3} + \binom{4}{2} \binom{2}{2} + \binom{4}{3} \binom{3}{1} + \binom{4}{4} \binom{4}{0} \\ &= 0 + 0 + 6 + 4 \cdot 3 + 1 = 19. \end{aligned}$$

To be sure, below is a table for every $\binom{n}{i}_3$ up till $n = 3$.

$\binom{0}{0}_3 = 1$						
$\binom{1}{0}_3 = 1$	$\binom{1}{1}_3 = 1$	$\binom{1}{2}_3 = 1$				
$\binom{2}{0}_3 = 1$	$\binom{2}{1}_3 = 3$	$\binom{2}{2}_3 = 3$	$\binom{2}{3}_3 = 2$	$\binom{2}{4}_3 = 1$		
$\binom{3}{0}_3 = 1$	$\binom{3}{1}_3 = 3$	$\binom{3}{2}_3 = 6$	$\binom{3}{3}_3 = 7$	$\binom{3}{4}_3 = 6$	$\binom{3}{5}_3 = 3$	$\binom{3}{6}_3 = 1$

These match up with the polynomials computed earlier, so we know we're on the right path.

Now let's look at $(1+x+x^2+x^3)^n$. We use the same strategy as before, writing the expression as $[1+x(1+x+x^2)]^n$. First expanding using the binomial theorem gives

$$\sum_{i=0}^n \binom{n}{i}x^i(1+x+x^2)^i.$$

Now we can expand the inside using our result for $k = 2$. We obtain

$$\sum_{i=0}^n \binom{n}{i} x^i \left[\sum_{j=0}^i \sum_{k=0}^j \binom{i}{j} \binom{j}{k} x^{j+k} \right] = \sum_{i=0}^n \sum_{j=0}^i \sum_{k=0}^j \binom{n}{i} \binom{i}{j} \binom{j}{k} x^{i+j+k}.$$

Thus, we have that

$$\binom{n}{c}_4 = \sum_{c=i+j+k} \binom{n}{i} \binom{i}{j} \binom{j}{k} x^{i+j+k}.$$

This is a closed form, but we have three binomials inside a sum that has $\binom{c+i+j+k}{2}$ terms, which can be big fast. Not to mention, the closed form looks kind of ugly with all the binomials. So let's try to find a different closed form. In fact, we don't have to try hard to find a much cleaner closed form. Go back to this sum:

$$\sum_{i=0}^n \binom{n}{i} x^i (1+x+x^2)^i.$$

Instead of expanding the trinomial all the way, we can expand it as follows:

$$\sum_{i=0}^n \binom{n}{i} x^i \sum_{j=0}^{2i} \binom{i}{j}_3 x^j = \sum_{i=0}^n \sum_{j=0}^{2i} \binom{n}{i} \binom{i}{j}_3 x^{i+j}.$$

From this, it easily follows that

$$\binom{n}{c}_4 = \sum_{c=i+j} \binom{n}{i} \binom{i}{j}_4.$$

Let's look at $k = 5$ before we tackle the general case. We can write the expression as $[1+x(1+x+x^2+x^3)]^n$. Expanding using the binomial theorem yields

$$\sum_{i=0}^n \binom{n}{i} x^i (1+x+x^2+x^3)^i.$$

Then using the result for $k = 4$ gives

$$\sum_{i=0}^n \binom{n}{i} x^i \sum_{j=0}^{3i} \binom{i}{j}_4 x^j = \sum_{i=0}^n \sum_{j=0}^{3i} \binom{n}{i} \binom{i}{j}_4 x^{i+j}.$$

From this it follows that

$$\binom{n}{c}_5 = \sum_{c=i+j} \binom{n}{i} \binom{i}{j}_4.$$

We can now prove the general result using induction. We already showed the base case $k = 3$. Now assume for some k we have that

$$\binom{n}{c}_k = \sum_{c=i+j} \binom{n}{i} \binom{i}{j}_{k-1}.$$

To show the result for $k + 1$, we expand $[1 + x(1 + x + \dots + x^{k-1})]^n$, which yields

$$\sum_{i=0}^n \sum_{j=0}^{(k-1)i} \binom{n}{i} \binom{i}{j}_k x^{i+j},$$

which yields

$$\binom{n}{c}_{k+1} = \sum_{c=i+j} \binom{n}{i} \binom{i}{j}_k.$$

Extending Euler's Results

We can easily extend Euler's results by considering the inside polynomial with arbitrary coefficients. For example, if we look at $(a + bx + cx^2)^2$ and rewrite it using the same method as for the original trinomial, we obtain

$$\sum_{i=0}^n \binom{n}{i} a^{n-i} x^i (b + cx)^i.$$

Upon expanding the inside using the binomial theorem and rearranging yields

$$\sum_{i=0}^n \sum_{j=0}^i \binom{n}{i} \binom{i}{j} a^{n-i} b^{i-j} c^j x^{i+j}.$$

Now, if we're looking for the coefficient of x^k , we obtain that the coefficient is

$$\sum_{k=i+j} \binom{n}{i} \binom{i}{j} a^{n-i} b^{i-j} c^j.$$

As ugly as this looks, it does extend Euler's result to an arbitrary polynomial. The case $a = b = c = 1$ was Euler's original result.

In fact, there's a much easier way to derive these results using the multinomial theorem. By the multinomial theorem, the coefficient of x^m for the general trinomial is

$$\sum_{m=i+j+k} \binom{n}{i, j, k} a^i b^j c^k.$$

Note that in our original formula, we have

$$\binom{n}{i} \binom{i}{j} = \frac{n!}{i!(n-i)!} \frac{i!}{j!(i-j)!} = \frac{n!}{(n-i)!(i-j)!j!} = \binom{n}{n-i, i-j, j},$$

so the two forms are essentially equivalent.

In particular, applying the multinomial theorem to an arbitrary polynomial $a_0 + a_1x + \dots + a_kx^k$ to the n th power yields that the coefficient of x^m is

$$\sum_{m=b_0+b_2+\dots+b_k} \binom{n}{b_0, b_1, \dots, b_k} a_0^{b_0} a_1^{b_1} \dots a_k^{b_k}.$$

References

- [1] Euler, Leonhard. "On the expansion of the power of any polynomial $1 + x + x^2 + x^3 + x^4 + \dots$ etc." arXiv preprint math/0505425 (2005).