

# TOPICS IN ADDITIVE COMBINATORICS

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ABSTRACT. In this article, we investigate some of the useful results of graph theory and how they apply to additive combinatorics.

## 1. INTRODUCTION

Ramsey theory has played an important role in a plethora of mathematical developments throughout the last century, reaching the area of algebra, combinatorics, analysis and geometry. In this article, I will discuss some introductory ramsey theory, and its application onto proving some topics in additive combinatorics, including Schur's Theorem and Van der Waerden's Theorem.

## 2. PRELIMINARIES

**Definition 2.1.** A graph  $G = (V, E)$  is an ordered pair such that  $V$  is a set of elements, as vertices and  $E \subseteq \{\{v_1, v_2\} \mid v_1, v_2 \in V, v_1 \neq v_2\}$  is the set of edges.

**Definition 2.2.** An edge  $e \in E$  is **adjacent** to the vertex  $v$  if  $v \in e$ .

**Definition 2.3.** Two vertices  $v_1, v_2 \in V$  is **adjacent** if there exists an edge  $e \in E$  such that  $e = \{v_1, v_2\}$ .

**Definition 2.4.** A complete graph of  $n$  vertices,  $K_n$ , is a graph of  $n$  vertices and any two vertices are adjacent.

**Definition 2.5.**  $K_\infty$  denotes a complete graph with infinitely many vertices.

**Definition 2.6.** For a positive integer  $n$ , we denote  $[n]$  by the set of elements  $\{1, 2, \dots, n\}$ .

**Definition 2.7.** If  $a, n$  are positive integers, we denote  $[a]^n$  as the set of sequences  $(a_1, a_2, \dots, a_n)$  where  $a_i \in [a]$  for all  $1 \leq i \leq n$ .

## 3. INTRODUCTORY RAMSEY THEORY

**Theorem 3.1** (Finite Ramsey's Theorem). *Let  $m, n$  be positive integers. There exists a positive integer  $k$  with  $m, n < k$ , such that any graph with at least  $k$  vertices and its edges being colored with red and blue, contains either a monochromatic red  $K_m$  or a monochromatic blue  $K_n$ .*

**Definition 3.2.** We define the smallest positive integer  $k$  satisfying the conclusion of the theorem above as  $R(m, n)$ , which is called a **Ramsey number**.

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*Proof.* We will now prove that  $R(m, n)$  exists by proving that it is bounded by a constant. We shall establish several facts regarding Ramsey number.

**Fact.**  $R(m, n) = R(n, m)$  for any positive integer  $m$  and  $n$ .

This is true because we could just swap the colors.

**Claim 3.3.** *For any integer  $a \geq 1$ ,  $R(a, 1) = a$ .*

*Proof.* Note that  $K_1$  contains zero edges, and therefore any coloring of  $K_1$  must contain a blue  $K_1$ .  $\square$

**Claim 3.4.** *For any integer  $a \geq 1$ ,  $R(2, a) = R(a, 2) = a$ .*

*Proof.* If all of the edges  $K_a$  is colored red, it must contain a red  $K_a$ . Otherwise, it must contain at least a blue edge, which is a blue  $K_2$ .  $\square$

**Claim 3.5.** *If  $m, n \geq 2$ , then  $R(m, n) \leq R(m - 1, n) + R(m, n - 1)$ .*

*Proof.* We will prove this by induction on  $m + n$ . Assume that  $R(m - 1, n)$  and  $R(m, n - 1)$  exists, and let  $X = R(m - 1, n) + R(m, n - 1)$ . We will prove that  $K_X$  will contain a monochromatic red  $K_m$  or a monochromatic blue  $K_n$ . Take a red-blue coloring of  $K_X$  and choose a vertex  $v_0$ . If  $v_0$  is incident with at least  $R(m - 1, n)$  red edges, then the complete graph determined by their other endpoints either contains a blue  $K_n$  (and we're done) or a red  $K_{m-1}$ . Then add vertex  $v_0$  to  $K_{m-1}$  to get a red  $K_m$ . If not, then  $v_0$  is incident with at least  $R(m, n - 1)$  blue edges and the idea is identical. This forces  $R(m, n) \leq R(m, n - 1) + R(m - 1, n)$  since we have proved that if  $X = R(m, n - 1) + R(m - 1, n)$ , then  $K_X$  contains either a monochromatic red  $K_m$  or a monochromatic blue  $K_n$ .  $\square$

$\square$

Although it is straightforward to establish Finite Ramsey's Theorem and Ramsey number bound for  $R(m, n)$ , for all  $m, n \in \mathbb{N}$ , it is really difficult to determine its exact value as the bound established is really loose.

In particular, it is known that  $R(3, 3) = 6$ ,  $R(4, 4) = 18$ ,  $R(5, 5) \in [43, 48]$  and  $R(10, 10) \in [798, 23556]$ , which is really far from being precise.

There exists an interesting generalization of the Finite Ramsey's Theorem, that is, the Infinite Ramsey's Theorem. Before we state the main theorem, we will first recall the infinite pigeonhole principle (which will just be stated as pigeonhole principle throughout this article).

**Theorem 3.6** (Infinite Pigeonhole Principle). *If there are a finite number of pigeonholes containing an infinite number of pigeons at least one of the pigeonholes must contain an infinite number of pigeons.*

**Theorem 3.7** (Infinite Ramsey's Theorem). *Suppose we color the edges of  $K_\infty$  with finitely many colors, then there is an infinite monochromatic induced subgraph.*

*Proof.* Fix a vertex  $v_0$ . By the Pigeonhole Principle, there is a color  $c_0$  and infinitely many vertices  $w \neq v_0$  such that the edge  $v_0w$  has color  $c_0$ . Let  $G_1$  be the subgraph spanned by these vertices, so all vertices of  $G_1$  are connected to  $v_0$  by an edge of color  $c_0$ . Now pick a vertex  $v_1$  of  $G_1$ . By the same argument there is an infinite subgraph  $G_2$  of  $G_1$  and a color  $c_1$  such that all vertices of  $G_2$  are connected to  $v_1$  by an edge of color  $c_1$ . By induction we

construct an infinite decreasing sequence of subgraphs  $G_n$  and a sequence of colors  $c_n$  as well as a sequence of vertices  $v_n \in G_n$  such that each vertex of  $G_{n+1}$  is connected to  $v_n$  by an edge of color  $c_n$ . Note that this implies that the edge connecting  $v_i$  and  $v_j$  has color  $c_i$  if  $i < j$ . Applying the Pigeonhole Principle again, we get an infinite increasing sequence  $\ell_1 < \ell_2 < \dots$  such that  $c_{\ell_1} = c_{\ell_2} = \dots$ . By construction, the vertices  $v_{\ell_1}, v_{\ell_2}, \dots$  span an infinite monochromatic subgraph.  $\square$

#### 4. SCHUR'S THEOREM

In the 1910's, Schur attempted to prove Fermat's Last Theorem by reducing the equation  $x^n + y^n = z^n$  modulo a prime  $p$ . Although the attempt was unsuccessful, Schur has established the following classical result, and one of the earliest results in an area now known as **additive combinatorics**.

**Theorem 4.1** (Schur's Theorem). *Let  $n$  be a positive integer. There is a positive integer  $N$  such that for any coloring of  $\{1, 2, \dots, N\}$  using  $n$  colors the equation  $x + y = z$  has a monochromatic solution.*

*Proof.* We will color the edges of  $K_N$  using  $n$  colors, by giving the edge  $ij$ , where  $i < j$ , with the color that  $j - i$  has in the coloring of  $\{1, 2, \dots, N\}$ . By **Finite Ramsey's Theorem**, we know that if  $N$  is big enough, then there is a monochromatic triangle, say with vertices  $i < j < k$ . Therefore,  $j - i, k - i$  and  $k - j$  has the same color. Furthermore, we have

$$(k - i) = (k - j) + (j - i).$$

$\square$

In 1916, Schur proved the following problem by using Schur's Theorem.

**Corollary.** Let  $n > 1$  be a positive integer. Then for all primes  $p > s(n)$ , for a function  $s : \mathbb{N}_{>1} \rightarrow \mathbb{N}$ , the congruence

$$x^n + y^n \equiv z^n \pmod{p}$$

has a solution in the integers, such that  $p$  does not divide  $xyz$ .

*Proof.* Let  $p$  be a large prime and let  $g$  be a primitive root modulo  $p$ . Color the elements in the set  $\{1, 2, \dots, p - 1\}$  with  $n$  colors, by giving an element  $a \equiv g^j \pmod{p}$  the color  $j \pmod{n}$ . If  $p$  is large, Schur's Theorem yields  $a, b, c$  of the same color such that  $a = b + c$ . Now, since  $a \equiv g^i, b \equiv g^j, c \equiv g^k \pmod{p}$ . We conclude that

$$g^{i-j} \equiv 1 + g^{k-j} \pmod{p}.$$

However, since  $a, b, c$  are of the same color, we know that  $i \equiv j \equiv k \pmod{n}$ , which implies  $n \mid j - i$  and  $n \mid k - i$ , and therefore, we have

$$(g^{\frac{j-i}{n}})^n \equiv 1^n + (g^{\frac{k-i}{n}})^n \pmod{p}$$

, and we are done.  $\square$

## 5. VAN DER WAERDEN'S THEOREM

In the 1920's, Van der Waerden proved the following result about monochromatic arithmetic progressions in the integers.

**Theorem 5.1** (Van der Waerden's Theorem). *For all positive integers  $k$  and  $r$ , there exists a least integer  $W(k, r)$  such that any  $r$ -coloring of  $[W(k, r)]$  contains a  $k$ -term monochromatic arithmetic progression.*

**Definition 5.2.** We define a set  $A \subseteq \mathbb{Z}$  to have **positive upper density** if

$$\limsup_{N \rightarrow \infty} \frac{|A \cap \{-N, \dots, N\}|}{2N + 1} > 0$$

In the 1930's, Erdos and Turan conjectured a stronger statement, that any subset of the integers with positive density contains arbitrarily long arithmetic progressions.

In the 1970's, Szemerédi fully settled the conjecture using combinatorial techniques, which has now become one of the landmark theorems in additive combinatorics.

**Theorem 5.3** (Szemerédi's theorem). *Every subset of the integers with positive upper density contains arbitrary long arithmetic progressions.*

We will not go through the proof of Szemerédi's theorem in this article. Instead, we will discuss the proof of Van der Waerden's Theorem.

We will use the idea of *color-focusing*. Let  $A_1, A_2, \dots, A_s$  be disjoint arithmetic progressions of length  $k - 1$ , where  $A_i = \{a_i, a_i + d_i, \dots, a_i + (k - 2)d_i\}$ . We define  $A_i$  to be **focused** at  $f$  if for all  $i$ , we have  $a_i + (k - 1)d_i = f$ . Furthermore, if in some coloring, each  $A_i$  is monochromatic, with each  $A_i$  receiving a different color, then the progressions together are said to be **color-focused** at  $f$ . The key idea is that when  $s = r$ , an  $r$ -coloring of  $\mathbb{N}$  containing a set of  $r$  color-focused arithmetic progressions  $A_1, A_2, \dots, A_r$ , each of length  $k - 1$ , must contain a monochromatic progression of length  $k$ . Since the common focus  $f$  of the  $A_i$  must receive one of the  $r$  colors, an  $r$ -coloring of  $\mathbb{N}$  containing a set of  $r$  color-focused arithmetic progressions  $A_1, A_2, \dots, A_r$  of length  $k - 1$ , must contain a monochromatic arithmetic progression of length  $k$ .

We will proceed by double induction.

By the pigeon hole principle, note that  $W(2, r) > r$  because we could take all  $r$  elements to be in different color. To prove that  $W(2, r) = r + 1$ , we could see that by pigeonhole principle, there must exist two of the  $r + 1$  numbers that have the same color, which is what we wanted – therefore  $W(2, r) = r + 1$  for all  $r$ . Next, suppose that we know that  $W(k - 1, t)$  is finite for all  $t \in \mathbb{N}$ . We will show that for a fixed value of  $r$ , the value  $W(k, r)$  is also finite. To do this, we will show that for each  $s \leq r$ , there exists a number  $V(k, r, s)$  such that any  $r$ -coloring of  $[V(k, r, s)]$  contains either

- a monochromatic arithmetic progression of length  $k$ , or
- A set  $A_1, A_2, \dots, A_s$  of color-focused  $(k - 1)$  term monochromatic arithmetic progressions, together with their common focus.

For the case  $s = 1$ , we could just take  $V(k, r, 1)$  to be  $2 \cdot W(k - 1, r)$ . Now, suppose that  $V(k, r, s - 1)$  is finite.

**Claim 5.4.**  $V(k, r, s) \leq 2 \cdot V(k, r, s - 1) \cdot W(k - 1, r^{V(k, r, s - 1)})$ .

*Proof.* Suppose we are given an  $r$ -coloring of  $[N]$ , where  $N = 2 \cdot V(k, r, s - 1) \cdot W(k - 1, r^{V(k, r, s - 1)})$ . We break the coloring up into  $2 \cdot W = 2W(k - 1, r^{V(k, r, s - 1)})$  blocks of length  $V = V(k, r, s - 1)$ . Now, there are indeed  $r^V$  ways to color each block, so by construction (which follows from the induction hypothesis on  $k$ ), there is a progression of identically colored blocks  $B_i, B_{i+m}, \dots, B_{i+(k-2)m}$  of length  $k - 1$  among the first  $W$  blocks, whose  $k$ th term is also among the  $2W$  blocks colored.

Now we look inside each (identically colored) block  $B_{\ell+jm}$ . By hypothesis (this is the induction on  $s$ ), we can find  $s - 1$  color-focused progressions of length  $k - 1$ , together with their focus, within each such block. Suppose that, in color  $i$  (where  $1 \leq i \leq s - 1$ ) and in block  $\ell + jm$ , where  $0 \leq j \leq k - 2$ , the progression is

$$\{a_i + jmV, a_i + d_i + jmV, \dots, a_i + (k - 2)d_i + jmV\}$$

with focus  $f + jmV$ .

Unless we have a monochromatic  $k$ -term progression, all of these focuses  $f + jmV$  for  $0 \leq j \leq k - 2$ , are colored with a new color  $w$ . Now, writing

$$A_i = \begin{cases} \{a_i, a_i + (d_i + mV), a_i + 2(d_i + mV), \dots, a_i + (k - 2)(d_i + mV)\} & 1 \leq i \leq s - 1 \\ \{f, f + mV, f + 2mV, \dots, f + (k - 2)mV\} & i = s, \end{cases}$$

we observe that  $A_1, A_2, \dots, A_s$  form a set of  $s$  color-focused progressions of length  $k - 1$ , with common focus  $f + (k - 1)mV$ , which is not greater than  $N$ . This completes the first induction on  $s$ . For the outer induction on  $k$ , note that by the argument at the start of this proof, we must have  $W(k, r) \leq V(k, r, r)$ , and we are hence done.  $\square$

Van der Waerden's Theorem cannot be extended to the infinite case, that is, there exists an  $r$ -coloring of  $\mathbb{N}$ , for  $r > 1$ , under which there is no monochromatic infinite arithmetic progression. We will give a construction for  $r = 2$ .

Consider the following coloring: where we color 1 red, 2, 3 blue, 4, 5, 6 red, and so on. The coloring produced in this way would look like

$$\{1, 2, 3, 4, 5, 6, 7, 8, 9, 10, \dots\}$$

We will label these monochromatic blocks as  $B_k$ , where  $k$  is the  $k$ th block of color. As an example,  $B_3 = \{4, 5, 6\}$ . Now, we label every number in  $\mathbb{N}$  as  $f(x, y)$  to label the  $y$ th number on the  $x$ th block  $B_x$ . As an example,  $f(1, 3) = 4$  because  $f(1, 3)$  denotes the first number of the third block: in this case is the block  $B_3 = \{4, 5, 6\}$ . Suppose that there exists a monochromatic infinite arithmetic progression. We take two of its successive elements, let it be  $f(a, b)$  and  $f(c, d)$ . Therefore the difference must be

$$f(a, b) - f(c, d) = \left( \frac{a(a+1)}{2} + b \right) - \left( \frac{c(c+1)}{2} + d \right)$$

which is a constant since  $f(a, b)$  and  $f(c, d)$  are two successive elements of an arithmetic progression. Since this is a constant, there must exist a natural number  $\ell$  such that

$$\ell > \left( \frac{a(a+1)}{2} + b \right) - \left( \frac{c(c+1)}{2} + d \right) = C$$

Now, consider  $B_\ell$ , where  $B_\ell$  has a different color than the color of infinite monochromatic arithmetic progression

Now, we claim that for any positive integer  $x$ , then the interval  $[x, x + C]$  must have an element in common with the infinite arithmetic progression. Now, suppose otherwise. Since the arithmetic progression has a common difference of  $C$  and the arithmetic progression is of infinite length, we can take the largest element  $y$  on the arithmetic progression such that  $y < x$ . Note that  $y \geq x - C$ , or otherwise,  $(y + C) < (x - C) + C = x$ , which is a larger element of the arithmetic progression. Therefore, we have

$$x - C \leq y < x \implies x \leq y + C < x + C$$

As  $y + C$  is also the member of the infinite arithmetic progression, and  $y + C \in [x, x + C]$ , we obtain a contradiction.

To finish this proof, notice that  $\ell > C$  by construction and  $B_\ell$  contains  $\ell$  consecutive elements – however, we define  $B_\ell$  to have a different color than the color of our infinite monochromatic arithmetic progression, in which by our previous lemma this is false.

## 6. REFERENCES

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